



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

February 12, 2007

RENNER KENNER GREIVE BOBAK TAYLOR & WEBER
FIRST NATIONAL TOWER, FOURTH FLOOR
AKRON, OH 44308-1456
US

Dear Sir/Madam,

Your refund request for 10554070 in the amount of \$700.00 has been denied.

Per Petition Decision mailed on 12/08/06.km

Sincerely,

Rita White

RITA WHITE

PCT - National

703 308-9140 x231



10/554070

01 Aug 06

DEP&REF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
DIVISION

In the application of

2006 AUG -1 PM 4:34

TAHERI et al.

Serial No.: 10/554,070

US PATENT & TRADEMARK
OFFICE

Filed: October 21, 2005

For: LIQUID CRYSTAL ACCESSORIES

CERTIFICATE OF MAILING

I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to: MAIL STOP 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria VA 22313-1450, on this 25th day of July, 2006.

Michelle L. Garro
Michelle L. Garro, Sec'y to Andrew B. Morton

REQUEST FOR REFUND

MAIL STOP 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

I. REFUND REQUEST

This is a request for a refund with respect to Form PTO-1390 (Transmittal Letter to the United States Designated/Elected Office Concerning A Submission Under 35 U.S.C. 371) submitted on October 21, 2005 for the above-identified patent application.

A copy of Form PTO-1390 in which the error occurs accompanies this request.

II. FEES CHARGED FOR WHICH REFUND REQUESTED

| | | |
|---------------|---|-----------------------------|
| <u>X</u> | Filing Fee | <u>700.00</u> |
| <u> </u> | Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR §1.16(e)) and/or | <u> </u> |
| <u> </u> | Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR §1.16(e)) | <u> </u> |

| | | |
|-------------------------|----------------------------------|--------------------------|
| _____ | Extension of Term | _____ |
| _____ | _____ first month | _____ |
| _____ | _____ second month | _____ |
| _____ | _____ third month | _____ |
| _____ | _____ fourth month | _____ |
| _____ | Excess claims | _____ |
| _____ | Issue Fee | _____ |
| _____ | Petition Fee | _____ |
| _____ | Patent Maintenance Fee | _____ |
| _____ | _____ first maintenance fee | _____ |
| _____ | _____ second maintenance fee | _____ |
| _____ | _____ third maintenance fee | _____ |
| _____ | Patent maintenance fee surcharge | _____ |
| _____ | Other | _____ |
| TOTAL REFUND REQUESTED: | | <u> \$ 700.00 </u> |

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

In the filing of the application in the United States Patent and Trademark Office on October 21, 2005, an error occurred in the completion of Form PTO-1390.

In particular, the fees were calculated, and subsequently paid, as large entity status. The Applicant is a small entity, as is evidenced by the Official Filing Receipt in corresponding United States Provisional patent application Serial No. 60/456,196 filed April 24, 2003, of which this application claims priority, which is attached hereto. No change in the Applicant or Assignee status has occurred.

Also attached is Form PTO-1390 showing the fee calculation as large entity status, along with a copy of our check number 061592 dated October 21, 2005 in the amount of \$1,400.00 in which the fees were subsequently paid at the large entity status rate.

IV. MANNER OF REFUND

Please make the refund, in the amount of \$700.00, by crediting Deposit Account No. 18-0987 and referencing Attorney Docket Number AMN.P0005 for billing purposes.

Should the Director have any questions, or require any additional information as evidence of the overpayment to authorize the requested refund, the undersigned Attorney would welcome a telephone call.

Respectfully submitted,



Andrew B. Morton, Reg. No. 37,400
Renner, Kenner, Greive, Bobak, Taylor & Weber
First National Tower -4th Floor
Akron, Ohio 44308-1456
Telephone: (330) 376-1242

Attorney for Applicants

Attorney Docket No. AMN.P0005
July 25, 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of

TAHERI et al.

Serial No.: 10/554,070

Filed: October 21, 2005

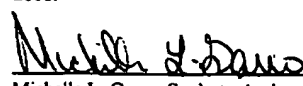
For: LIQUID CRYSTAL
ACCESSORIES

)
) Group Art Unit

)
) ^, Examiner

)
) **Certificate of Mailing**

) I hereby certify that this correspondence was deposited with the
) United States Postal Service as first class mail in an envelope
) addressed to: Commissioner for Patents, P.O. Box 1450,
) Alexandria, VA 22313-1450 on this 25th day of July,
) 2006.

)
) 
) Michelle L. Garro, Sec'y to Andrew B. Morton

TRANSMITTAL SHEET

Enclosed are the following documents:

Correction of Large Entity Request (*w/attached Certificate of Mailing*) (2 pages)

Request for Refund (*w/attached Certificate of Mailing*) (3 pages)

Copy of originally filed Transmittal Letter (*Form PTO-1390*) (3 pages)

Copy of Check Number 061592 dated October 21, 2005 in the amount of \$1,400.00

Copy of Filing Receipt of corresponding Provisional Application Serial No. 60/465,196

Return Receipt Postcard

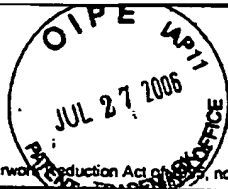
The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 18-0987. If a withdrawal is required from Deposit Account No. 18-0987, the undersigned attorney respectfully requests that the Commissioner of Patents and Trademarks cite Attorney Docket Number AMN.P0005 for billing purposes.

Respectfully submitted,



Andrew B. Morton, Reg. No. 37,400
Renner, Kenner, Greive, Bobak, Taylor & Weber
First National Tower - 4th Floor
Akron, Ohio 44308-1456
Telephone: (330) 376-1242
Facsimile: (330) 376-9646
E-mail: morton@rennerkenner.com

Attorney for Applicants



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| | | |
|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER AMN.P0005 |
| | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |
| INTERNATIONAL APPLICATION NO. PCT/US2004/012583 | INTERNATIONAL FILING DATE 23 April, 2004 | PRIORITY DATE CLAIMED 24 April, 2003 |
| TITLE OF INVENTION LIQUID CRYSTAL ACCESSORIES | | |
| APPLICANT(S) FOR DO/EO/US TAHERI et al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.4. <input checked="" type="checkbox"/> The US has been elected (Article 31).5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> has been communicated by the International Bureau.c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto.b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (4 pages)(unsigned)10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none">11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.13. <input checked="" type="checkbox"/> A preliminary amendment. (7 pages)14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.15. <input type="checkbox"/> A substitute specification.16. <input type="checkbox"/> A power of attorney and/or change of address letter.17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | |
|---|--------------|---|---------|---------------------------------------|----|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | INTERNATIONAL APPLICATION NO. PCT/US2004/012583 | | ATTORNEY'S DOCKET NUMBER AMN.P0005 | |
| 20. Other items or information: Copy of International Search Report dated 09 March, 2005 Copy of Written Opinion dated 09 March, 2005 | | | | | |
| The following fees have been submitted | | | | CALCULATIONS PTO USE ONLY | |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 | | | | \$ 300.00 | |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) | | | | | |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 | | | | | |
| All other situations.....\$200 | | | | 200.00 | |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) | | | | | |
| If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 | | | | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 | | | | | |
| International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 | | | | | |
| All other situations.....\$500 | | | | 100.00 | |
| TOTAL OF 21, 22 and 23 = | | | | 600.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 15 - 100 = | 0 /50 = | | x \$250 | \$ 0.00 | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 36 - 20 = | 16 | x \$ 50 | \$ 800.00 | |
| Independent claims | 2 - 3 = | 0 | x \$200 | \$ 0.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,400.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/3. | | | | | |
| SUBTOTAL = | | | | \$ 1,400.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 1,400.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 1,400.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged | \$ |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ 1,400.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0987. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:


SIGNATURE

Andrew B. Morton

NAME

37,400

REGISTRATION NUMBER

Commissioner for Patents

DATE: October 21, 2005
 CHE #: 061592
 AMOUNT: \$1,400.00
 ACCOUNT: 1
 PAID TO: Commissioner for Patents

EXPLANATION: USPTO fee for application filing

**RENNER, KENNER, GREIVE
 BOBAK, TAYLOR & WEBER**
 FOURTH FLOOR, FIRST NATIONAL TOWER
 AKRON, OHIO 44308-1456

KEYBANK, NA
 Akron, OH 44308
 6-103/410

CHECK NO.

061592

Oct 21, 2005

\$1,400.00

DATE

AMOUNT

PAY TO THE ORDER OF

Commissioner for Patents

*** One Thousand Four Hundred *****

00/100

Commissioner for Patents

And B. M. [Signature]

⑈061592⑈ ⑆041001039⑆03500⑈02419⑈



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 60/465,196 | 04/24/2003 | | 80 | AMN.P0005P | 3 | | |

CONFIRMATION NO. 6913

26360
 RENNER, KENNER, GREIVE, BOBAK, TAYLOR & WEBER
 FOURTH FLOOR
 FIRST NATIONAL TOWER
 AKRON, OH 44308

FILING RECEIPT



OC000000010234834

Date Mailed: 06/11/2003

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

Applicant(s)

Bahman Taheri, Hudson, OH;
 Tamas Kosa, Kent, OH;
 Roy Miller, Akron, OH;
 Joshua Hupper, Kent, OH;

JUN 30 2003

RENNER, KENNER, GREIVE,
 BOBAK, TAYLOR & WEBER

If Required, Foreign Filing License Granted: 06/10/2003

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Liquid crystal accessories

LICENSE FOR FOREIGN FILING UNDER
 Title 35, United States Code, Section 184
 Title 37, Code of Federal Regulations, 5.11 & 5.15



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of)

TAHERI et al.)

Serial No.: 10/554,070)

Filed: October 21, 2005)

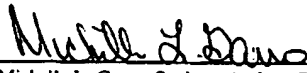
For: LIQUID CRYSTAL
ACCESSORIES)

Group Art Unit

^, Examiner

Certificate of Mailing

) I hereby certify that this correspondence was deposited with the
) United States Postal Service as first class mail in an envelope
) addressed to: Commissioner for Patents, P.O. Box 1450,
) Alexandria, VA 22313-1450 on this 25th day of July,
) 2006.

) 
) Michelle L. Garro, Sec'y to Andrew B. Morton

**CORRECTION OF LARGE ENTITY STATUS ESTABLISHED
IN ERROR UNDER 37 C.F.R. §3.71**

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In the above-identified application, large entity status was established in error.
The present submission serves to correct this error. The itemization of the total excess
payment is provided below:

| Fees Paid In Error | Date Paid | Current Fee | Difference | Total |
|--------------------|------------|-------------|------------|-------|
| \$1,400.00 | 10/21/2005 | \$700.00 | \$700.00 | |

Accordingly, a refund in the amount of \$700.00 is respectfully requested, as set forth in
the accompanying Petition for Refund.

Should this submission raise any issues to be addressed by the Applicants, the

undersigned attorney would welcome a telephone call.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Andrew B. Morton", with a horizontal line drawn underneath it.

Andrew B. Morton, Reg. No. 37,400
Renner, Kenner, Greive, Bobak, Taylor & Weber
First National Tower - 4th Floor
Akron, Ohio 44308-1456
Telephone: (330) 376-1242
Facsimile: (330) 376-9646
E-mail: morton@rennerkenner.com

Attorney for Applicants

Attorney Docket No. AMN.P0005